

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Visco et al.

Attorney Docket No.: PLUSP038

Application No.: 10/825,587

Examiner: Not yet assigned

Filed: April 14, 2004

Group: 1745

Title: ACTIVE METAL FUEL CELLS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on September 26, 2006 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313 V450.

Signed ara Hayden

INFORMATION DISCLOSURE STATEMENT 37 CFR §§1.56 AND 1.97(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. PLUSP038).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

Registration No. 39,489

P.O. Box 70250 Oakland, CA 94612-0250 OCT 0 2 2006 B

Form 1449 (Modified)

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No. PLUSP038

Application No.: 10/825,587

Applicant:

Visco, et al. Filing Date

April 14, 2004

Group 1745

**U.S. Patent Documents** 

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
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	A2	5,789,108	08.1998	Chu			
	A3	6,068,950	05.30.00	Gan et al.			
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Foreign Patent or Published Foreign Patent Application

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Examiner		Document	Publication	Country or		Sub-	Translation	
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	B1	WO 02/50933 A2	27.06.2002	PCT				1
	B2	WO 02/50933 A3	27.06.2002	PCT				

## **Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Jou	mal) of Publication			
	C1		Visco, et al., "Ionically Conductive Composites for Protection of Active Metal Anodes," PolyPlus Battery Company, Appln. No. 10/686,189, filed October 14, 2003, ages 1-48 [PLUSP027]			
Examiner	•	Da	te Considered			

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449 (Modified)	Atty Docket No. PLUSP038	Application No.: 10/825,587
Information Disclosure	Applicant:	10/025,507
Statement By Applicant	Visco, et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	April 14, 2004	1745

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A25	5,582,623	12.10.96	Chu			
A26	6,248,481	11.11.97	De Jonghe et al.		<u> </u>	
A27	5,882,812	03.16.99	De Jonghe et al.			
A28	5,516,598	05.14.96	Chu et al.			
A29	6,358,643	03.19.02	Katz et al.			
A30	5,814,420	09.29.98	Chu			
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A38	6,537,701	03.25.03	Chu et al.			
A39		10.18.05	Nimon et al.			
A40	6,200,704	03.13.01	De Jonghe et al.			
A41	6,210,832	04.03.01	Chu et al.			
A42		08.29.00	Chu et al.			
A43	6,225,002	05.01.01	Chu et al.			
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		10.14.03	Nimon et al.			
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		01.31.06	Visco et al.			
	A25 A26 A27 A28 A29 A30 A31 A32 A33 A34 A35 A36 A37 A38 A39 A40 A41 A42 A43 A44 A45 A46	No. Patent No.  A23 5,532,077  A24 5,523,179  A25 5,582,623  A26 6,248,481  A27 5,882,812  A28 5,516,598  A29 6,358,643  A30 5,814,420  A31 4,917,974  A32 4,833,048  A33 5,162,175  A34 6,723,140  A35 6,198,701  A36 6,165,644  A37 6,017,651  A38 6,537,701  A39 6,955,866  A40 6,200,704  A41 6,210,832  A42 6,110,236  A43 6,225,002	No.         Patent No.         Date           A23         5,532,077         07.02.96           A24         5,523,179         06.04.96           A25         5,582,623         12.10.96           A26         6,248,481         11.11.97           A27         5,882,812         03.16.99           A28         5,516,598         05.14.96           A29         6,358,643         03.19.02           A30         5,814,420         09.29.98           A31         4,917,974         04.17.90           A32         4,833,048         05.23.89           A33         5,162,175         11.10.92           A34         6,723,140         04.20.04           A35         6,198,701         03.06.01           A36         6,165,644         12.26.00           A37         6,017,651         01.25.00           A38         6,537,701         03.25.03           A39         6,955,866         10.18.05           A40         6,200,704         03.13.01           A41         6,210,832         04.03.01           A42         6,110,236         08.29.00           A43         6,225,002         05.01.01	A23         5,532,077         07.02.96         Chu           A24         5,523,179         06.04.96         Chu           A25         5,582,623         12.10.96         Chu           A26         6,248,481         11.11.97         De Jonghe et al.           A27         5,882,812         03.16.99         De Jonghe et al.           A28         5,516,598         05.14.96         Chu et al.           A29         6,358,643         03.19.02         Katz et al.           A30         5,814,420         09.29.98         Chu           A31         4,917,974         04.17.90         Visco et al.           A32         4,833,048         05.23.89         De Jonghe et al.           A33         5,162,175         11.10.92         De Jonghe et al.           A34         6,723,140         04.20.04         Chu et al.           A35         6,198,701         03.06.01         De Jonghe et al.           A36         6,165,644         12.26.00         Chu et al.           A37         6,017,651         01.25.00         Chu et al.           A39         6,955,866         10.18.05         Nimon et al.           A40         6,200,704         03.13.01         De Jon	No.         Patent No.         Date         Patentee         Class           A23         5,532,077         07.02.96         Chu           A24         5,523,179         06.04.96         Chu           A25         5,582,623         12.10.96         Chu           A26         6,248,481         11.11.97         De Jonghe et al.           A27         5,882,812         03.16.99         De Jonghe et al.           A28         5,516,598         05.14.96         Chu et al.           A29         6,358,643         03.19.02         Katz et al.           A30         5,814,420         09.29.98         Chu           A31         4,917,974         04.17.90         Visco et al.           A32         4,833,048         05.23.89         De Jonghe et al.           A33         5,162,175         11.10.92         De Jonghe et al.           A34         6,723,140         04.20.04         Chu et al.           A35         6,198,701         03.06.01         De Jonghe et al.           A36         6,165,644         12.26.00         Chu et al.           A37         6,017,651         01.25.00         Chu et al.           A39         6,955,866         10.18.05	No.         Patent No.         Date         Patentee         Class class           A23         5,532,077         07.02.96         Chu           A24         5,523,179         06.04.96         Chu           A25         5,582,623         12.10.96         Chu           A26         6,248,481         11.11.97         De Jonghe et al.           A27         5,882,812         03.16.99         De Jonghe et al.           A28         5,516,598         05.14.96         Chu et al.           A29         6,358,643         03.19.02         Katz et al.           A30         5,814,420         09.29.98         Chu           A31         4,917,974         04.17.90         Visco et al.           A32         4,833,048         05.23.89         De Jonghe et al.           A33         5,162,175         11.10.92         De Jonghe et al.           A34         6,723,140         04.20.04         Chu et al.           A35         6,198,701         03.06.01         De Jonghe et al.           A36         6,165,644         12.26.00         Chu et al.           A37         6,017,651         01.25.00         Chu et al.           A39         6,955,866         10.18.05 </td

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
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Examiner			D	ate Considered			<u> </u>	<u> </u>

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449 (Modified)	Atty Docket No.	Application No.:
, ,	PLUSP038	10/825,587
Information Disclosure	Applicant:	
Statement By Applicant	Visco, et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	April 14, 2004	1745

## **Other Documents**

Examiner					
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication			
	C2	International Search Report dated October 18, 2005 from related International			
		Application No. PCT/US2004/033372. [PLUSP039]			
	C3	U.S. Office Action mailed June 16, 2006, from U.S. Application No. 11/092,781.			
		[PLUSP026C1]			
	C4	De Jonghe, et al., "Chemical Protection of a Lithium Surface," PolyPlus Battery			
		Company, Appln. No. 11/092,781, filed March 28, 2005, pages 1-34 [PLUSP026C1].			
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	C6	Visco et al., "Protected Active Metal Electrode and Battery Cell Structures with Non-			
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		Anodes," PolyPlus Battery Company, Appln. No. 10/731,771, filed December 5,			
		2003, pages 1-43 [PLUSP027X1].			
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		10/986,441, filed November 10, 2004, pages 1-39 [PLUSP042].			
Examiner		Date Considered			

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